NIH Public Access Policy
NIH Public Access Policy is Mandatory

• The Policy implements Division G, Title II, Section 218 of PL 110-161 (Consolidated Appropriations Act, 2008) which states:

The Director of the National Institutes of Health shall require that all investigators funded by the NIH submit or have submitted for them to the National Library of Medicine’s PubMed Central an electronic version of their final, peer-reviewed manuscripts upon acceptance for publication, to be made publicly available no later than 12 months after the official date of publication: Provided, That the NIH shall implement the public access policy in a manner consistent with copyright law.
The Policy Applies to Manuscripts that:

• Are peer-reviewed
• Accepted for publication in a journal on or after April 7, 2008
• Arise from:
  – Direct funding from an NIH grant or cooperative agreement active in FY08 or beyond
  – Direct funding from NIH contract signed on or after April 7, 2008
  – Direct funding from the NIH Intramural Program
  – An NIH employee
Addressing Copyright

*Investigators and institutions are responsible for ensuring full compliance with the Public Access Policy.*

Make sure the copyright transfer agreement allows the final peer-reviewed manuscript to be submitted to NIH.

**Authors should consider:**

- Who will submit the paper and/or approve the submission?
- What version of the paper will be made available on PMC?
- When will the paper be submitted and when will it be made public on PMC?
PubMed v PubMed Central

Both are free resources developed by the US National Library of Medicine

- Biomedical journal citations + abstracts
- Some links to full text articles at PMC and publisher websites
- Unique identifier: PMID followed by a series of numbers

- Digital archive of full-text, peer reviewed journal papers
- Unique identifier: PMCID followed by a series of numbers
Article Types

Final Published Article
- Journal’s authoritative copy of the paper
- Includes peer review modifications, plus copyediting and formatting changes
- Submitted by Publishers/Journals to PMC

Methods A&B

Final Peer-Reviewed Manuscript
- Authors final manuscript of a peer-reviewed paper accepted for journal publication
- Includes all modifications from the peer review process
- Submitted by Authors and Publishers/Journals to PMC

Methods C&D
### Overview of Submission Methods

<table>
<thead>
<tr>
<th>Version of Paper Submitted</th>
<th>Final Published Article</th>
<th>Final Peer-Reviewed Manuscript</th>
</tr>
</thead>
<tbody>
<tr>
<td>Submission Process</td>
<td>Publisher posts the paper directly to PMC</td>
<td>Papers are required to be submitted via the NIHMS upon acceptance of publication. The NIHMS converts them to the PMC format</td>
</tr>
<tr>
<td>Deposit Files</td>
<td><strong>Method A</strong>: Journals post NIH supported papers automatically</td>
<td><strong>Method C</strong>: Authors or their designee must submit papers to NIHMS</td>
</tr>
<tr>
<td></td>
<td><strong>Method B</strong>: Authors make special arrangement for journals and publishers to post the paper</td>
<td><strong>Method D</strong>: Publishers will submit papers to NIHMS</td>
</tr>
<tr>
<td>Approve Submission</td>
<td>Publisher</td>
<td>Author, via NIHMS</td>
</tr>
<tr>
<td>Approve PMC Web Version</td>
<td>Publisher</td>
<td>Author, via NIHMS</td>
</tr>
<tr>
<td>Responsible Party</td>
<td>NIH Awardee</td>
<td>NIH Awardee</td>
</tr>
<tr>
<td>To cite papers, from acceptance for publication to 3 mos post publication</td>
<td>PMC Journal In-Process</td>
<td>NIHMSID</td>
</tr>
<tr>
<td>To cite papers, 3 mos post publication and beyond</td>
<td>PMCID</td>
<td>PMCID</td>
</tr>
</tbody>
</table>
Identify Submission Method

If **Method A**, the journal will be listed here:  
[http://publicaccess.nih.gov/submit_process_journals.htm](http://publicaccess.nih.gov/submit_process_journals.htm)

If **Method B**, the journal will be listed here, and the author has arranged with the journal for the deposit of a specific article:  
[https://publicaccess.nih.gov/select_deposit_publishers.htm](https://publicaccess.nih.gov/select_deposit_publishers.htm)

If **Method C**, the author deposits the final peer-reviewed manuscript into NIHMS and must complete all remaining steps in order for the submission to be accepted.

If **Method D**, publishers listed here have volunteered to deposit a final peer-reviewed manuscript to NIHMS when they determine that it falls under NIH Public Access Policy. The author must complete all remaining steps in NIHMS in order for the submission to be accepted:  
Methods A & B

A

Journal deposits the published version of all NIH-funded articles in PMC.

B

Author arranges for Publisher to deposit published version of specific article in PMC.

Final published article in PMC
Methods C & D

Author or delegate submits final peer reviewed manuscript to the NIHMS

Journal publisher submits final peer reviewed manuscript to the NIHMS

NIHMS sends author an email asking author to approve the submitted materials for processing

Author approves the PMC-formatted manuscript

After submission is complete, NIHMS emails the citation with PMCID to author and PIs
Documenting Compliance

For Method A and B Journals, use "PMC Journal - In Process".
  
  PMCID: PMC Journal - In Process

For Method C and D Journals, use the NIHMSID.

**NIHMSIDs will not be accepted 3 months after publication.**
- PMCIDS are assigned around the time of publication.
- Use the PMCID once it is assigned.
How to cite papers archived in PMC

– When citing a paper in NIH applications, proposals, and progress reports, include the PMCID at the end of the full citation.

– Applies to papers that fall under the Policy and are authored or co-authored by you.

Example

What does this policy mean for my awards?

(NOT-OD-12-160) For non-competing continuation with a start date of July 1, 2013 and beyond

• Awards will be placed on hold until grantees have demonstrated compliance

• My NCBI is required to report papers, when electronically submitting progress reports using the Research Performance Progress Report (RPPR)

• PDF reports generated from My NCBI are required, when submitting paper progress reports using the form PHS 2590 (replaces publication section)
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Who can submit manuscripts to NIHMS?

- Author, publisher or anyone given access to the author’s files can submit. Approval of the PDF Receipt and web version of the manuscript requires PI or author review and authorization.

- NIHMS accounts will be kept separate between login routes. Submitters must continue to use the same login method for subsequent visits to NIHMS.
Contacts and FAQs

Questions and comments regarding the NIH Public Access Policy: PublicAccess@nih.gov

NIHMS Help Desk: https://www.nihms.nih.gov/db/sub.cgi?page=email&from=home

NIHMS FAQs: https://www.nihms.nih.gov/web-help/faq.shtml